

Interplay between activin and Hox genes determines the formation of the kidney morphogenetic field

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The kidney develops in a specific position along the anterior-posterior axis. All vertebrate kidney tissues are derived from the intermediate mesoderm (IM), and early kidney genes such as *Lim1* and *Pax2* are expressed in amniotes posterior to the sixth somite axial level. IM cells anterior to this level do not express kidney genes owing to changes in their competence to respond to kidney-inductive signals present along the entire axis. We aimed to understand the molecular mechanisms governing the loss of competence of anterior IM cells and the formation of the anterior border of the kidney morphogenetic field. We identified the dorsal neural tube as the potential kidney-inductive tissue and showed that activin, a secreted morphogen, is necessary but insufficient for *Lim1* induction and establishment of the kidney field. Activin or activin-like and BMP signaling cascades are activated along the entire axis, including in anterior non-kidney IM, suggesting that competence to respond to these signals involves downstream or other components. Detailed expression pattern analysis of Hox genes during early chick development revealed that paralogous group four genes share the same anterior border as the kidney genes. Ectopic expression of *Hoxb4* in anterior non-kidney IM, either by retinoic acid (RA) administration or plasmid-mediated overexpression, resulted in ectopic kidney gene expression. The anterior expansion of *Lim1* expression was restrained when *Hoxb4* was co-expressed with a truncated form of activin receptor. We suggest a model in which the competence of IM cells to respond to TGF β signaling and express kidney genes is driven by RA and mediated by *Hoxb4*.

KEY WORDS: Kidney development, Pronephros, Intermediate mesoderm, Anterior-posterior patterning, Activin, Retinoic acid, Hox genes

INTRODUCTION

A morphogenetic field is described as a group of cells that is competent to respond to the biochemical influences of surrounding tissues and consequently differentiates into specific morphological structures. The morphogenetic field has definitive boundaries that determine the eventual position of molecular events leading to its derivatives. In vertebrate embryos, the kidney morphogenetic field (KMF) arises within the intermediate mesoderm (IM) and is characterized by a specific profile of gene expression, including *Lim1* (also known as *Lhx1*) (Fujii et al., 1994) and *Pax2* (Dressler et al., 1990). The major patterning events specifying the kidney lineage take place during, and shortly after, gastrulation (Cartry et al., 2006; James and Schultheiss, 2003; Mauch et al., 2000). While still in the primitive streak (PS), the prospective IM is committed to its kidney fate but requires extrinsic signals in order to become specified (Barak et al., 2005). The initial specification towards IM fate occurs at stages HH5–7 (Hamburger and Hamilton, 1992), shortly after prospective IM cells leave the PS and migrate anteriorly. By stage 8, IM cells are located in their final position and start to express both *Lim1* and *Pax2*. This expression is restricted to IM located posterior to the sixth somite level (Fujii et al., 1994; James and Schultheiss, 2003; Mauch et al., 2000), consistent with specific tissue structures that give rise to the pronephric duct primordium (Hiruma and Nakamura, 2003).

Little is known about the signals governing IM specification. Several studies have demonstrated the role of neighboring tissues in IM specification, mainly in relation to the mediolateral axis (Barak

et al., 2005; James and Schultheiss, 2003; James and Schultheiss, 2005; Mauch et al., 2000; Seufert et al., 1999). Considerable evidence from studies carried out in zebrafish, *Xenopus* and avian embryos has shown a role for BMP, activin and retinoic acid (RA) signaling in early events of kidney specification and pronephros induction. In the *Xenopus* animal cap assay, activin can induce the expression of *Xlim-1* and of the related gene *Xlhx-1b* (Haldin et al., 2003; Taira et al., 1992). Combining activin and RA results in a synergistic effect on *Xlim-1* induction and can induce the formation of pronephric tubules (Moriya et al., 1993; Osafune et al., 2002; Taira et al., 1992). Similarly, mouse embryonic stem cells respond to activin and RA by upregulation of IM markers (Kim and Dressler, 2005). Moreover, both zebrafish and *Xenopus Lim1* genes contain an activin response element (ARE) in their first intron, which, at least in zebrafish embryos, is required for the expression of this gene (Rebbert and Dawid, 1997; Watanabe et al., 2002). Previous studies suggest a role for BMP signaling in specifying IM cells and the induction of IM genes (James and Schultheiss, 2005; Obara-Ishihara et al., 1999).

Barak et al. (Barak et al., 2005) recently provided a cellular mechanism to explain the formation of the anterior border of the KMF. Two main themes emerged from this study. The first proposed the presence of kidney-inductive signals along the entire axis, including in the anterior non-kidney IM regions. The second theme suggested that IM cells lying anterior to the sixth somite level do not express kidney genes as a result of changes in their competence to respond to these inductive signals. Based on these results, Barak and co-workers proposed a model in which changes in cell competence determine the formation of the anterior border of kidney gene expression. These changes occur in a narrow time window in early developmental stages during the migration of the cells to their final destination in the anterior IM. Therefore, despite the presence of such inductive factors in the anterior environment, IM cells do not express the kidney program. By contrast, cells that migrate later do not lose their competence to respond to inductive signals and are

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specified as kidney tissue. In the current study, we aimed to discover the molecular mechanism governing the loss of competence of early gastrulating IM cells and, thereby, the formation of the anterior border of the KMF.

MATERIALS AND METHODS

Embryo culture

Fertile White Leghorn chicken and Japanese quail (*Coturnix coturnix japonica*) eggs were incubated at 38°C in a humidified incubator until embryos reached HH3-15 (Hamburger and Hamilton, 1992). For explant analysis, chick and quail embryonic tissues were cultured in type I collagen gels (Münsterberg et al., 1995; Schultheiss et al., 1995) suffused with PS culture media: DMEM-F12 supplemented with 5% fetal calf serum (Beth-Ha'emek, Israel), 5 µg/ml human transferrin (Gibco), 100 µg/ml conalbumin (Sigma), 1× insulin-transferrin-selenium (Gibco), 1% Pen-Strep and 1% L-glutamine. In experiments using activin and RA, recombinant activin A (R&D Systems) or all-trans RA (Sigma) were added to the culture medium to a final concentration of 10 ng/ml or 10 µM, respectively. For in vivo manipulations, chick embryos were grown in modified New culture as previously described (Barak et al., 2005).

RT-PCR and restriction site polymorphism analysis

A detailed description of the RT-PCR procedure, including primer sequences, and restriction site analysis details are available upon request.

Implantation of RA beads

DEAE beads were soaked in 10 µM RA or 1.7 M DMSO prior to in vivo implantation into the migratory pathway of prospective anterior IM cells of stage 4 embryos.

Expression plasmids and electroporation

Expression plasmids for Hoxb4 were kindly provided by Olivier Pourquie (Iimura and Pourquie, 2006). A dominant-negative form of *Xenopus* activin receptor 1 (Hemmati-Brivanlou and Melton, 1992) was obtained from Abraham Fainsod and subcloned into pCIG (Megason and McMahon, 2002). Electroporation was carried out as described (Wilm et al., 2004). Detailed protocols are available upon request.

Whole-mount in situ hybridization, sectioning and immunohistochemistry

Whole-mount RNA in situ hybridization (ISH) was performed as described (Schultheiss et al., 1995) using probes for chick *Lim1* (Tsuchida et al., 1994) and chick *Hoxb4* (Morrison et al., 1995). Embryos were cryosectioned as described (Barak et al., 2005). Immunohistochemistry was performed on cryosections and paraffin sections using the following primary antibodies: *Lim1* (Developmental Studies Hybridoma Bank), GFP (Molecular Probes), phospho-Smad1/5/8 and phospho-Smad2 (Cell Signaling Technology). Secondary antibodies were Cy3- or Cy2-conjugated anti-mouse or anti-rabbit IgG (Jackson ImmunoResearch). Detailed immunohistochemistry protocols are available upon request. Images were collected on a Leica DMIRE2 microscope with a Leica DC300fx camera or on a Zeiss Axiovert 200 fluorescent microscope with an AxioCam HS.

RESULTS

Identifying the source tissue of the kidney-inductive signals

In order to identify potential early kidney inducers, we first aimed to identify the kidney-inductive tissue. Previous studies demonstrated a role for adjacent paraxial tissues in the positive regulation of kidney genes in posterior IM (James and Schultheiss, 2003; James and Schultheiss, 2005; Mauch et al., 2000; Seufert et al., 1999). Moreover, Barak et al. (Barak et al., 2005) showed that kidney-inductive signals are secreted from tissues medial to the IM along the entire axis. Neither ectoderm nor endoderm is required for pronephros induction during early IM development stages (Mauch et al., 2000). Thus, the source of medial inductive signals could be the paraxial mesoderm, the neural tube (NT), the notochord, or any combination thereof.

Inductive signals for kidney genes are also present in the anterior regions at relatively late stages, when the somites are already formed. These signals are sufficient for the induction of kidney properties in prospective IM regions from the PS of stage 6 embryos (PS6) in vivo (Barak et al., 2005). Thus, we sought to recombine PS6 with midline tissues of stage 10 embryos and to culture them in isolation from any surrounding tissues. Kidney gene expression in the cultured tissues was analyzed by RT-PCR. In order to distinguish between genes expressed in the responding tissue (PS6) and the presumed inductive tissue (midline tissues), we recombined tissues from chick and quail embryos. Restriction site polymorphism between chick and quail PCR products enables one to distinguish between genes expressed in the responding tissue from those expressed in the inductive tissue, as previously described (Schultheiss et al., 1995). Based on chicken sequences, we designed a set of primers for the amplification of partial sequences of *Gapdh*, *Lim1* and *Pax2* transcripts. We then amplified selected regions of these genes by RT-PCR from stage 12 quail embryo cDNAs. Since we found restriction sites specific to the chick sequences only, we used the chick embryo as a donor for the prospective posterior IM of PS6 and the quail as the source of midline tissues. Detection of digested products indicated that the genes analyzed are expressed in the tissue derived from the chick embryo (Fig. 1A).

First, we verified the relevance and reliability of the in vitro system by examining the ability of isolated midline tissues (NT, notochord and somites 2-4) to induce kidney gene expression in PS6. Two parallel regions of chick PS6 were cultured for 24 hours, either alone or together with anterior midline tissues from the stage 10 quail embryo (Fig. 1B). When cultured alone, no *Lim1* or *Pax2* expression was detected in PS6 (Fig. 1C, lane 1, $n=10/10$). However, co-culturing chick PS6 together with anterior midline tissues from quail embryos resulted in the upregulation of both *Lim1* and *Pax2* expression in the grafted PS6 (Fig. 1C, lane 2, $n=10$; 7/10 for *Lim1* and *Pax2*). These results are in agreement with those of Barak et al. (Barak et al., 2005) and confirm the in vitro model.

Next, we dissected the midline into axial and paraxial tissues. NT and notochord or somites isolated from quail embryos were recombined with chick PS6 and cultured in vitro for 24 hours. RT-PCR analyses followed by restriction digestion revealed upregulation of both *Lim1* and *Pax2* expression in PS6 cultured in the presence of axial tissues (Fig. 1C, lanes 3 and 4, $n=18$; 9/18 and 12/18 for *Lim1* and *Pax2*, respectively). By contrast, co-culturing chick PS6 with quail somites showed a differential induction of *Lim1* and *Pax2* expression (Fig. 1C, lanes 5 and 6, $n=7$; faint expression of *Lim1* was observed in 1/7 cases and 6/7 expressed *Pax2*). Taken together, these results indicate that both the axial and paraxial tissues secrete a factor(s) that can induce *Pax2* expression in kidney precursor tissues, whereas *Lim1* expression is regulated by factors that emanate from the NT or notochord.

Finally, we sought to identify the precise region in the NT that is the source of the inductive signals. NT isolated from quail embryos was dissected along the rostrocaudal axis into dorsal and ventral halves. The dorsal half contained the roof plate, whereas the ventral half contained the floor plate and the notochord. Neither *Lim1* nor *Pax2* expression was detected in PS6 cultured with the ventral part of the NT (Fig. 1D, lanes 1 and 2, $n=5$; 0/5 for both *Lim1* and *Pax2*). By contrast, co-culturing chick PS6 and the dorsal half of the NT resulted in the upregulation of both *Lim1* and *Pax2* expression in the grafted PS (Fig. 1D, lanes 3 and 4, $n=6$; 5/6 and 3/6 for *Lim1* and *Pax2*, respectively). These findings are in agreement with the observation reported by Mauch et al. (Mauch et al., 2000), whereby the floor plate and notochord are not required for in vivo pronephros induction.

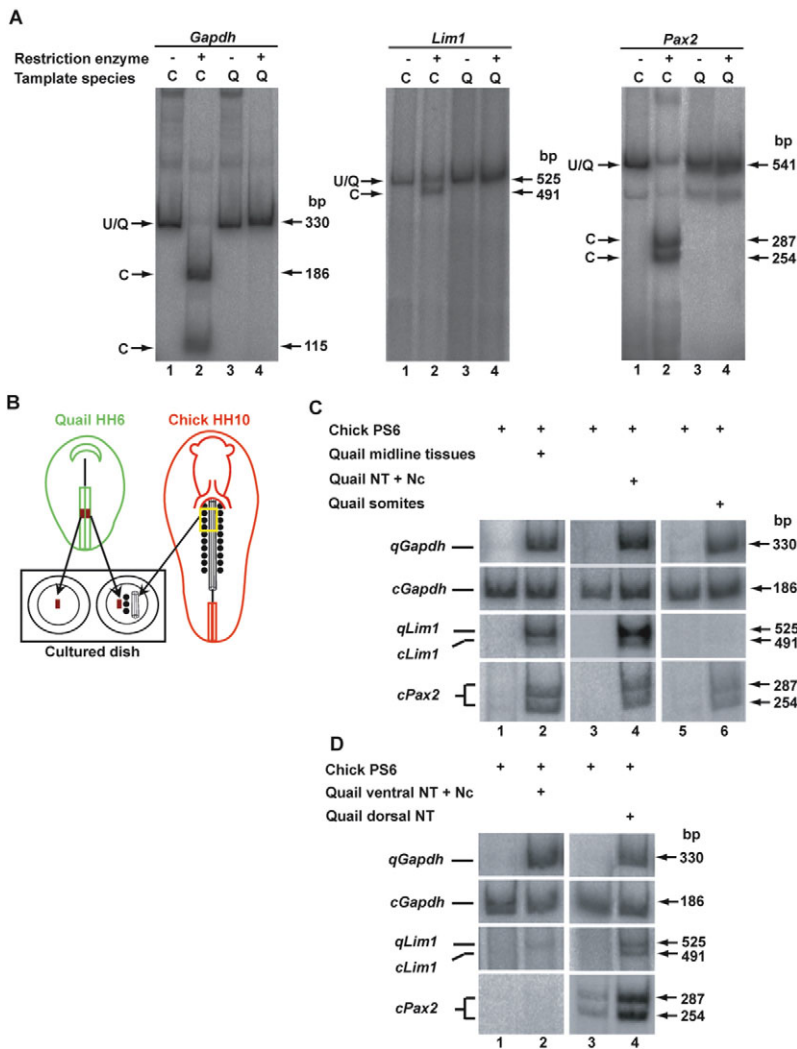


Fig. 1. The dorsal neural tube secretes kidney-inductive signals. (A) Discrimination between chick and quail RT-PCR products by restriction site analysis. Each set of PCR primers was used to amplify cDNA from stage 12 chick (C) or quail (Q) embryos. PCR products were analyzed directly (lanes 1, 3) or following digestion by restriction enzymes that specifically recognize the chick sequences (lanes 2, 4). The identity of the bands and their sizes are labeled (U, uncut). (B) Scheme describing the experimental design. (C) Two parallel PS6 regions from chick embryos were isolated and cultured for 24 hours with or without isolated anterior midline tissues [neural tube (NT), notochord and somites 2-4] or NT and notochord (NT+Nc) or somites 2-4 of stage 10 quail embryos. *Lim1* and *Pax2* expression was analyzed by RT-PCR followed by restriction enzyme digestion (see A). Amplification of *Gapdh* transcript was used to compare RNA levels in each sample. For *Pax2* transcripts, only the digested products are shown. (D) Two parallel PS6 regions from chick embryos were cultured for 24 hours with or without dorsal NT or ventral NT plus notochord (NT+Nc) of stage 10 quail embryos. *Gapdh*, *Lim1* and *Pax2* expression was analyzed as described in B. Genes are from quail (q) or chick (c).

TGFβ signaling is involved in the specification of the kidney morphogenetic field

Several signaling molecules are secreted from the dorsal NT (i.e. from the neural folds prior to NT closure and later from the roof plate). Among these are members of the TGFβ family, including activin, Bmp4 and Bmp7 (Connolly et al., 1995; Liem et al., 1997; Liem et al., 1995; Tam, 2001). The expression of these TGFβ family proteins in the neural plate at early developmental stages is in close proximity to migrating kidney IM precursor cells (James and Schultheiss, 2003) (Fig. 2A). Moreover, previous studies suggested a role for activin and BMPs in early kidney induction (see Introduction). Therefore, we sought to investigate the role of activin and the activation patterns of both activin and BMP signaling in relation to the KMF anterior border.

In order to examine the role of activin in inducing early kidney markers, we first cultured PS6 with or without activin. *Lim1* expression was upregulated in PS6 cultured in the presence of activin (Fig. 2B, lane 2, n=12; 7/12). By contrast, *Pax2* expression was not induced in grafted tissues in the presence of activin (Fig. 2B, lane 2, n=12; 0/12).

In order to analyze the endogenous activation pattern of activin signaling during early kidney formation, we utilized antibodies that specifically recognize the phosphorylated form of Smad2 (phospho-Smad2), the intracellular mediator of activin-like molecules (including activin and nodal). Double immunostaining with anti-

phospho-Smad2 and anti-Lim1 revealed ubiquitous activation of activin-like signaling in the three germ layers (Fig. 2C-J). The observed ubiquitous activation of phospho-Smad2 at early developmental stages would seem to contradict an expected morphogen gradient. However, as our detection procedure was not performed quantitatively we cannot rule out the existence of a mediolateral phospho-Smad2 gradient. Cross-sections through anterior and posterior regions of stage 8 embryos (Fig. 2C,E-H) showed Smad2 phosphorylation along the entire IM axis, regardless of the KMF anterior border, as marked by anti-Lim1 staining. Cross-sections of stage 6 embryos at the level of Hensen’s node revealed activation of Smad2 in prospective anterior non-kidney IM (IM6) (Fig. 2D,I-J, arrows). Control cross-sections of stage 10 embryos showed restricted activation patterns of activin (data not shown), consistent with results obtained by Faure et al. (Faure et al., 2002) and confirming the specificity of the antibody.

BMPs have been shown to play a role in pronephros induction and pronephric duct maintenance (James and Schultheiss, 2005; Obara-Ishihara et al., 1999). Faure et al. used anti-phospho-Smad1/5/8 antibodies to examine the endogenous patterns of BMP signaling during early chick development (Faure et al., 2002). Although their study was detailed, these authors did not present data concerning BMP signaling activation during IM specification. As shown in Fig. 2K-N, BMP signaling is activated specifically in IM cells along the entire axis of stage 8 embryos, regardless of the

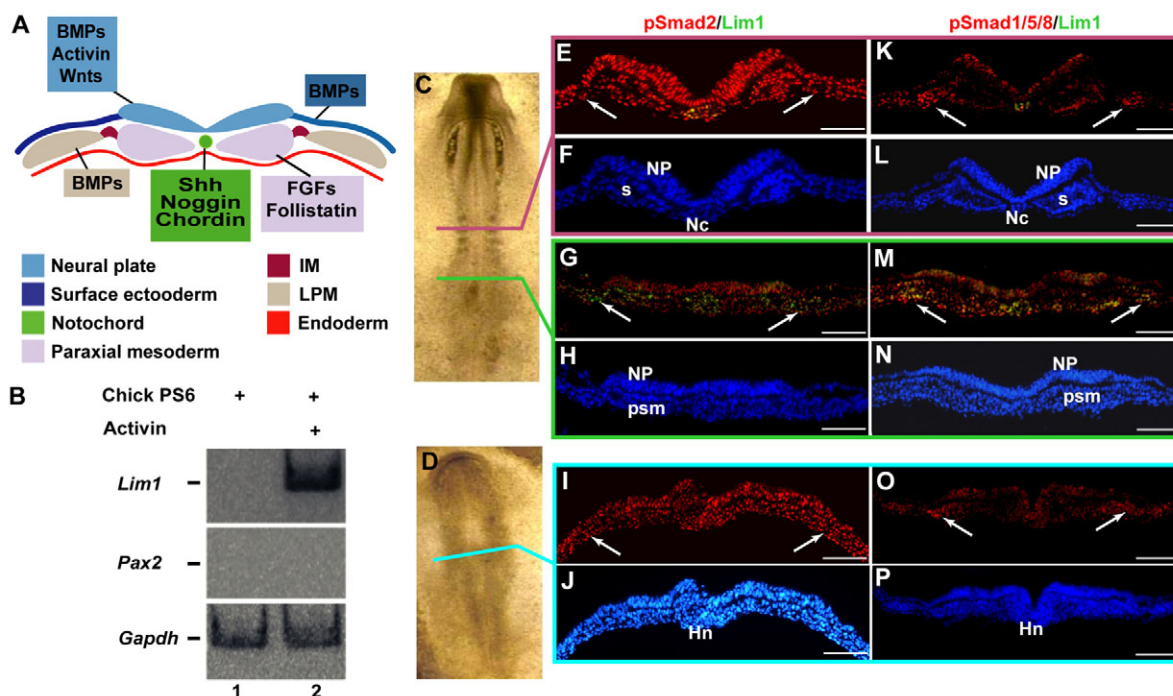


Fig. 2. TGF β signaling in intermediate mesoderm specification. (A) Scheme summarizing the architecture of tissues and their morphogens at the time and location the kidney morphogenetic field is specified. (B) Two parallel PS6 regions from chick embryos were isolated and cultured for 24 hours with or without 10 ng/ml activin. *Gapdh*, *Lim1* and *Pax2* expression was analyzed by RT-PCR. (C-P) Profile of Smad2 and Smad1/5/8 activation during intermediate mesoderm (IM) specification. Stage 8 (C) and stage 6 (D) chick embryos were fixed and sectioned, followed by double immunostaining using anti-phospho-Smad2 (E, G, I) or anti-phospho-Smad1/5/8 (K, M, O) together with anti-Lim1/2 antibodies. DAPI staining is shown in F, H, J, L, N, P. Analysis was performed at the second somite level of stage 8 chick embryos (magenta line in C), and at the level of Hensen's node of stage 8 (green line in C) and stage 6 (turquoise line in D) chick embryos. IM is indicated by arrows. Hn, Hensen's node; Nc, notochord; NP, neural plate; psm, pre-segmented mesoderm; s, somite. Scale bars: 100 μ m.

anterior border of early kidney markers. Double immunostaining with anti-phospho-Smad1/5/8 and anti-Lim1 clearly showed that BMP signaling is activated in both anterior and posterior IM regions where Lim1 is expressed differentially (Fig. 2K, M, arrows). Cross-sections through the Hensen's node region of stage 6 embryos revealed specific activation of BMP signaling in lateral mesoderm, including the prospective anterior IM regions (Fig. 2O, P).

These results support previous studies that suggested a role for activin and BMPs in kidney induction. However, the activation patterns of activin-like and BMP signaling cannot explain the formation of the KMF anterior border; thus, the involvement of downstream or other factors might be required for this process.

Hoxb4 expression pattern during IM specification

The Hox gene family is a well-known candidate for implementing border formation along the anterior-posterior (A-P) axis (Pearson et al., 2005). The Hox code with respect to the IM A-P axis is unknown. In order to gain a better understanding of the potential role of Hox genes in patterning the IM, we studied in detail the expression pattern of several Hox genes at the level of the sixth somite by whole-mount in situ hybridization (ISH) (Fig. 3; data not shown). Here we focus on the *Hoxb4* expression pattern, as the anterior expression boundary of this gene in the paraxial mesoderm was shown to be situated at the sixth somite level (Bel-Vialar et al., 2002). *Hoxb4* expression was first detected at stage 3, with weak expression at the PS posterior edge (Fig. 3A). At stages 4-6, *Hoxb4* PS expression was intensified and could be detected along the entire length of the PS, excluding Hensen's node. Expression of this gene

was also detected in mesodermal cells migrating from the PS (Fig. 3B-D, arrows). Cross-sections through anterior regions of stage 5 embryos showed no *Hoxb4* expression (Fig. 3H). However, sections through the prospective IM region in the PS showed expression of the gene in the PS groove, prospective neuroepithelium and in migrating mesodermal cells, but not in the ectoderm (Fig. 3I). At stage 9, the anterior border of *Hoxb4* expression in both the NT and all mesodermal tissues (including IM) was at the sixth somite level (Fig. 3E). By stage 10, expression in the NT and the lateral plate mesoderm (LPM) shifted anteriorly and could be detected anterior to the sixth somite level (Fig. 3F), a phenomenon that was preserved at later developmental stages (Fig. 3G). Cross-sections through anterior regions of stage 10 embryos showed *Hoxb4* expression in the NT and the LPM, whereas in posterior regions it was detected in the NT, in all mesodermal tissues excluding the notochord, and in ectoderm and endoderm (Fig. 3J, K). The anterior IM border of *Hoxb4* expression was associated with the sharply defined anterior border of kidney gene expression. As summarized in Fig. 3L, *Hoxb4*, *Hoxb5*, *Hoxc4* and *Hoxd4* transcripts were expressed in the IM from the sixth somite level posteriorly and thus potentially constitute the Hox code involved in kidney gene regulation.

RA induces anterior expansion of *Lim1* and *Hoxb4* expression exclusively in the IM

RA is a known regulator of Hox gene expression and its role in A-P patterning has been investigated extensively (reviewed by Duyster, 2007; Maden, 2006). In addition, RA has been shown to be involved

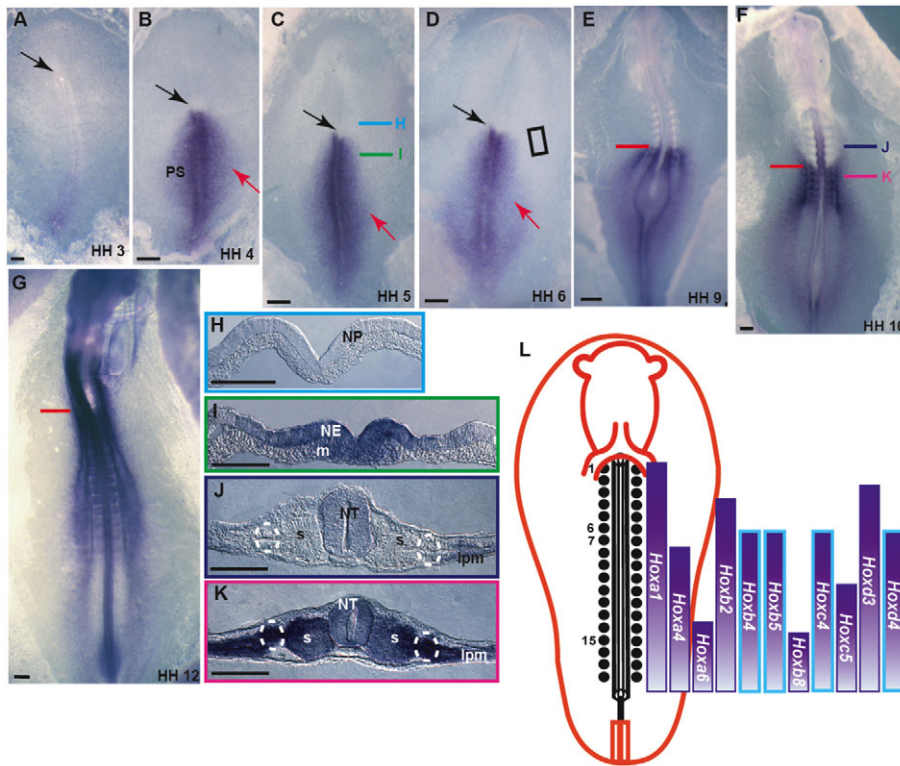


Fig. 3. *Hoxb4* expression patterns during early chick development. (A-G) Whole-mount RNA in situ hybridization (ISH) using a *Hoxb4* probe. Hamburger and Hamilton (HH) stages are indicated. Black arrows mark Hensen’s node. Red arrows in B-D point to gastrulating cells expressing *Hoxb4*. Red lines mark the sixth somite axial level. (A-F) Ventral view; (G) dorsal view. (H-K) Cross-sections at the level of H-K in C, F. Dashed circles mark the IM (J, K). (L) Scheme of the expression patterns of several Hox genes in the IM of a chick embryo. Bars with a blue margin represent Hox genes that have an anterior boundary at the sixth somite level. NE, neuroepithelium; m, mesoderm; NT, neural tube; s, somite; LPM, lateral plate mesoderm. Scale bars: 100 μ m.

in the induction of pronephric cell fate (Kim and Dressler, 2005; Moriya et al., 1993; Osafune et al., 2002). These observations, together with the above results that showed the IM anterior border of several Hox genes, prompted us to hypothesize a role for RA in controlling the expression of early kidney genes and determining the KMF anterior border. In order to investigate this hypothesis, RA-soaked beads were implanted into the migratory pathway of prospective anterior IM cells of stage 4 embryos (Fig. 4A, arrow). Following 24 hours of incubation, the beads reached a position in or next to the anterior IM (Fig. 4B, arrow). The embryos were fixed and analyzed for *Lim1* and *Hoxb4* expression. As shown in Fig. 4C, ectopic expression of *Lim1* was observed in the anterior IM as compared with the control contralateral side (arrowhead, $n=18$; 16/18). Moreover, the anterior expansion of *Lim1* expression was restricted to IM cells, as confirmed in cross-sections (Fig. 4D, arrowhead), despite non-specific diffusion of RA from the bead. Analyzing *Hoxb4* expression in RA-treated embryos revealed, as predicted, anterior expansion of this gene in the IM on the experimental side (Fig. 4E, arrowhead, $n=12$; 10/12). In several cases, the anterior expansion of *Hoxb4* was also observed in the lateral somite region (data not shown). In addition, RA induced the expression of *Lim1* and *Hoxb4* in grafted IM6 (Fig. 4F), a tissue previously shown not to be competent to respond to kidney-inductive signals (Barak et al., 2005).

The specific expression of *Lim1* in anterior IM cells suggests the requirement of an additional endogenous factor(s) that, in combination with RA, creates the precise conditions for kidney cell fate.

Overexpression of *Hoxb4* expands *Lim1* and *Pax2* expression in anterior IM

The ability of RA to ectopically induce *Lim1* and *Hoxb4* expression in anterior non-kidney IM raised two possibilities: (1) that both genes are regulated independently by RA; and (2) that *Hoxb4*

mediates RA control of *Lim1*. In order to investigate the second possibility, we overexpressed *Hoxb4* in anterior IM regions. The pCIZ-*Hoxb4* construct was electroporated into the prospective IM region of stage 3 embryo PS (PS3). Control embryos were electroporated with an empty vector. Following 24 hours of incubation, expression of the ZsGreen1 fluorescent reporter gene was observed in control embryos in IM anterior to the sixth somite level (Fig. 5A, arrow). No alteration in normal *Lim1* expression was observed in these embryos (Fig. 5B; Table 1). By contrast, overexpression of the vector containing *Hoxb4* resulted in anterior ectopic expression of *Lim1* in correlation with the presence of the plasmid in anterior IM cells (Fig. 5C, D, arrow and arrowhead, respectively; Table 1). Cross-sections through anterior regions confirmed *Lim1* expression in IM cells on the experimental side (Fig. 5E, arrow). These results suggest a role for *Hoxb4* in *Lim1* regulation in IM cells and raise the possibility that *Hoxb4* is involved in the specification of the anterior border of the KMF. In agreement with this, *Pax2* expression was also expanded anteriorly in IM cells of embryos overexpressing *Hoxb4* (Table 1; data not shown).

Activin-like signaling is necessary but insufficient for *Lim1* induction in IM cells

The above results, obtained from two different experimental setups, revealed that both activin and *Hoxb4* are able to induce *Lim1* expression. Furthermore, we showed that RA upregulates both *Lim1* and *Hoxb4* in anterior non-kidney mesoderm. Thus, both activin and RA, as signaling molecules, can regulate *Lim1* expression. Moreover, because the activation pattern of activin-like signaling is along the entire axis and the expression pattern of *Hoxb4* is restricted to the sixth somite level in the paraxial mesoderm and IM, it is unlikely that activin is upstream of *Hoxb4*. Therefore, we hypothesized that activin or activin-like molecules and *Hoxb4* work in coordination to promote kidney field formation. In order to assess this hypothesis, we co-expressed *Hoxb4* with a dominant-negative

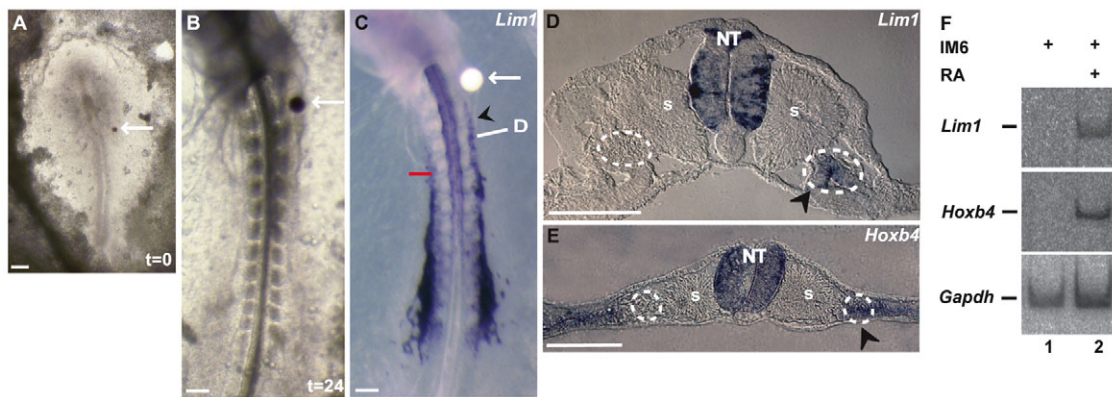


Fig. 4. Retinoic acid induces anterior *Lim1* and *Hoxb4* expansion in the IM. (A) A retinoic acid (RA)-soaked bead (arrow) was implanted in the migratory pathway of IM cells of a stage 4 chick embryo. (B) Twenty-four hours after implantation, the bead reached a location in the anterior IM (arrow). (C) Whole-mount ISH for *Lim1* reveals specific IM anterior expansion of expression (arrowhead) as compared with the contralateral side. The red line marks the sixth somite border. The arrow marks the RA-soaked bead. (D) Cross-section through the region indicated by the bar in C clearly shows that *Lim1* expression is specific to the IM of the experimental side (arrowhead). (E) Cross-section of another embryo through the anterior IM close to the RA bead shows upregulation of *Hoxb4* expression in IM tissues (arrowhead) as compared with the contralateral control side. IM is encircled by dashed lines. (F) Two parallel IM6 regions were isolated and cultured for 24 hours with or without 10 μ M RA. *Lim1*, *Hoxb4* and *Gapdh* expression was analyzed by RT-PCR. NT, neural tube; s, somite. Scale bars: 100 μ m.

form of *Xenopus* activin receptor 1 (dnXAR1) in the IM. Control experiments in which the *Hoxb4* expression vector (pCIRX-*Hoxb4*) was co-electroporated with pCIG (an empty vector) into the prospective IM region of PS3 resulted in extended *Lim1* expression in anterior non-kidney IM (Fig. 6A-D; Table 1), as expected. By contrast, no ectopic expression of *Lim1* was observed anterior to the sixth somite level in embryos co-electroporated with both *Hoxb4* and pCIG-dnXAR1 vectors. The presence of *Hoxb4* in anterior IM was confirmed by detection of the dsRed reporter gene (Fig. 6E-H, left side; Table 1). Moreover, endogenous *Lim1* expression was abolished in the posterior IM on the contralateral side, where both plasmids were expressed extensively (Fig. 6E-H, right-hand side). Cross-sections through anterior (Fig. 6I) and posterior (Fig. 6J) regions confirmed the absence of *Lim1* expression in IM cells expressing the dnXAR1 plasmid, as revealed by anti-GFP staining (Fig. 6I,J, arrowheads). Similar results were obtained from experiments in which dnXAR1 was expressed in posterior IM alone (Table 1; data not shown).

In order to verify whether activin is also sufficient for *Lim1* induction, activin was added to IM6 cultures in which *Hoxb4* is normally not expressed (Fig. 6K, lane 1; Fig. 3D, boxed). Neither *Lim1* nor *Hoxb4* expression was detected following incubation, in contrast to the experiment described in Fig. 2B, in which activin was added to cultures of PS6 tissue in which *Hoxb4* is normally expressed (Fig. 3D). Taken together, our results suggest that activin or activin-like molecules are necessary but insufficient as signaling molecules for *Lim1* induction and the establishment of the KMF.

DISCUSSION

One of the major goals of the interdisciplinary field of evolutionary development is to discover the developmental mechanisms involved in changes leading to innovations in the body plan. In a previous study (Barak et al., 2005), we proposed the term 'posteriorization shift' of the KMF to illustrate changes in the field position along the A-P axis observed in various chordates. For example, gene expression pattern analysis in amphioxus reveals *AmphiPax2/5/8* and *AmphiLim1/5* in Hatschek's nephridium at the anterior region of the pharynx

(Czerny et al., 1997; Langeland et al., 2006). In the study by Barak et al. (Barak et al., 2005), we pointed to a major cellular mechanism that provides an explanation for the posterior shift of the KMF. According to this mechanism, kidney-inductive signals are secreted from midline tissues along the entire axis, including anterior non-kidney-generating IM, supporting the idea that in the past the kidney field was positioned in the most anterior segments of the body. However, no expression of kidney genes is observed in anterior IM owing to the loss of competence of gastrulating cells to respond to kidney-inductive signals. The current study further advances our understanding of the molecular mechanism underlying the loss of competence of anterior IM cells.

The dorsal NT retains kidney-inductive properties

Our results reveal that the NT roof plate can induce both *Lim1* and *Pax2* expression in PS6. Consistent with our results, Taira et al. (Taira et al., 1994) showed that *Xlim-1* induction in pronephros precursor cells in the lateral mesoderm of *Xenopus* exogastrulae requires interaction with the ectoderm. Although these authors discussed the resemblance of this phenomenon to the requirement for a NT-derived signal for muscle cell differentiation in the somite, they dismissed the possibility that the ectodermal signal for pronephric *Xlim-1* expression arises from the NT, as the pronephros is not in direct contact with this tissue in *Xenopus* embryos. By contrast, our findings are in agreement with the fact that during cell migration, the prospective kidney-generating IM is in close proximity to the neural plate (James and Schultheiss, 2003) (Fig. 2A).

Previous studies have demonstrated a role for medial tissues in kidney induction and suggested a pivotal role for the adjacent paraxial mesoderm in this process (Mauch et al., 2000; Seufert et al., 1999). However, none of these studies excluded experimentally the possibility of dorsal NT induction of the pronephros. For example, Seufert and colleagues (Seufert et al., 1999) did not address the possibility that nervous system defects resulting from UV-induced ventralization might account for both somite and pronephros loss, and based their conclusion that the neural tissue is unlikely to be the source of kidney-inductive signals on 'preliminary data' (Seufert et

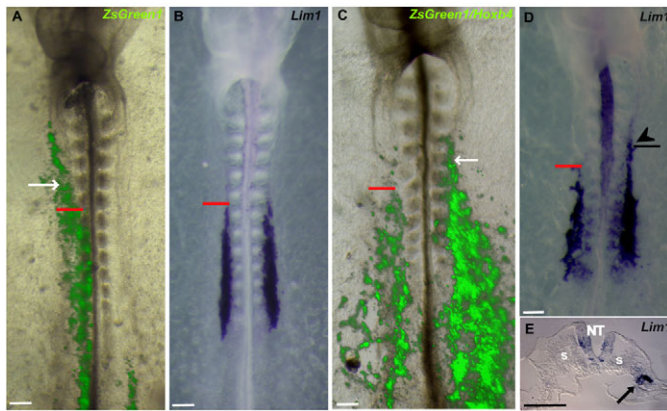


Fig. 5. Overexpression of Hoxb4 results in anterior *Lim1* expansion. (A-D) pCIZ control (A,B) or *Hoxb4* expression (C,D) vector driving internal ribosome entry site 2 (IRES2)-ZsGreen1 expression was electroporated into the prospective IM region of P53. Eighteen hours after electroporation, ZsGreen1 expression marking the location of the control (A) or *Hoxb4* expression (C) vector could be visualized in the anterior IM (arrow; red line marks the sixth somite border). (B,D) ISH reveals specific IM anterior expansion of *Lim1* expression only in embryos electroporated with the *Hoxb4* expression vector (arrowhead in D), and not in embryos expressing the control vector (B). (E) Cross-section through the region indicated by the arrowhead in D showing that *Lim1* expansion is specific to the IM of the experimental side (arrow). NT, neural tube; s, somite. Scale bars: 100 μ m.

al., 1999). Similarly, separation between the somites and IM resulted in separation of the IM from the NT as well, and dissection between the notochord and the somites left the NT roof plate on the somite side, resulting in pronephros induction (Mauch et al., 2000). Taken together, the experimental evidence obtained in these studies does not contradict our conclusion regarding the kidney-inductive properties retained by the dorsal NT.

Activin-like signaling is required but insufficient for kidney induction

One of the factors secreted from the NT roof plate (Liem et al., 1997) that is a good candidate for a pronephros induction factor is activin. Activin is also expressed in the neural plate of stage 5-8 embryos (Connolly et al., 1995), which, at these stages, is in immediate contact with migrating kidney precursor cells. Limited evidence exists for the involvement of activin in early kidney induction. In the current study, we provided additional support for the activin-like

signaling requirement in this process, suggesting that it is required for *Lim1* expression in IM cells and functions in a cell-autonomous manner. These results are in agreement with the observation that both zebrafish and *Xenopus* *Lim1* genes contain an ARE in their first intron, which can direct the expression of this gene (Rebbert and Dawid, 1997; Watanabe et al., 2002).

Our results also show that activin-like signaling is insufficient for kidney induction. This assertion is based on two complementary observations: the activation pattern of phospho-Smad2 along the entire IM axis (Fig. 2) and the lack of *Lim1* induction in isolated IM6 cultured in the presence of activin. The latter is consistent with the observation that IM6 is not a competent tissue and cannot respond to kidney-inductive signals in vivo (Barak et al., 2005). Thus, although cells in the anterior IM are able to initiate the activin-like intracellular signaling cascade, they fail to convert this signal into effective *Lim1* induction. These findings suggest that *Lim1* induction in IM cells in response to activin or activin-like molecules requires the involvement of other factors operating in coordination with activin-like signaling. A good candidate would be RA, which was previously shown to cooperate with activin in forming pronephric tubules and inducing *Xlim-1* expression in the *Xenopus* animal cap assay (Moriya et al., 1993; Osafune et al., 2002; Taira et al., 1992).

RA signaling in pronephros development: direct or indirect induction?

Several lines of evidence suggest a role for RA signaling in early kidney development (Cartry et al., 2006; Moriya et al., 1993; Osafune et al., 2002; Taira et al., 1992; Taira et al., 1994; Wingert et al., 2007). In agreement with these studies, we demonstrate here the involvement of RA signaling in determining the KMF anterior border. There are several possible mechanisms by which RA might regulate *Lim1* expression: the first suggests that RA regulates *Lim1* expression directly. This mechanism is supported by the study of Cartry et al. (Cartry et al., 2006), who showed that RA induces *Xlim-1* expression in the presence of a protein synthesis inhibitor. The second mechanism suggests that RA regulates *Lim1* indirectly via *Hoxb4* induction in IM cells. Our observation that ectopic expression of *Hoxb4* in anterior IM cells results in anterior expansion of *Lim1* expression, similar to the effect obtained by RA, strongly supports this mechanism. Several studies in *Xenopus* embryos further corroborate this second possibility. It has been shown that activin-induced *Xlim-1* expression in RA-treated animal caps is eliminated by administering a protein synthesis inhibitor, suggesting an indirect mode of action (Tadano et al., 1993). Furthermore, Taira et al. (Taira et al., 1994) compared the expression of Hox genes and *Xlim-1* in control and RA-treated embryos and noted that: (1) RA expands the normal expression domains that are common to both the Hox and *Xlim-1* genes; (2)

Table 1. Summary of electroporation experiments

Expression plasmid	Expression in anterior IM		Reduction in endogenous <i>Lim1</i> expression
	<i>Lim1</i>	<i>Pax2</i>	
pCIZ	0/3	na	-
pCIZ-Hoxb4	14/17	1/2	-
pCIRX	0/2	0/3	-
pCIRX-Hoxb4	3/5	5/5	-
pCIG + pCIRX-Hoxb4	3/3	na	-
pCIG-dnXAR1 + pCIRX-Hoxb4	0/4	na	4/4
pCIG-dnXAR1	-	na	4/4

Data show the number of embryos that exhibit anterior expansion of *Lim1* or *Pax2* expression/total number of cases. Reduction in endogenous *Lim1* expression was detected only in the experiment in which dnXAR1 was electroporated. na, not analyzed.

RA induction of Hox genes, including *Hoxb4* and *Hoxb5*, appears to precede the appearance of RA-induced *Xlim-1*; and (3) the endogenous expression domains of *Hoxb4* and *Hoxb5* overlap with those of *Xlim-1* in the pronephros. The indirect mechanism further predicts that RA may confer IM cells with the competence to respond to activin-like signals by inducing *Hoxb4* expression in these cells.

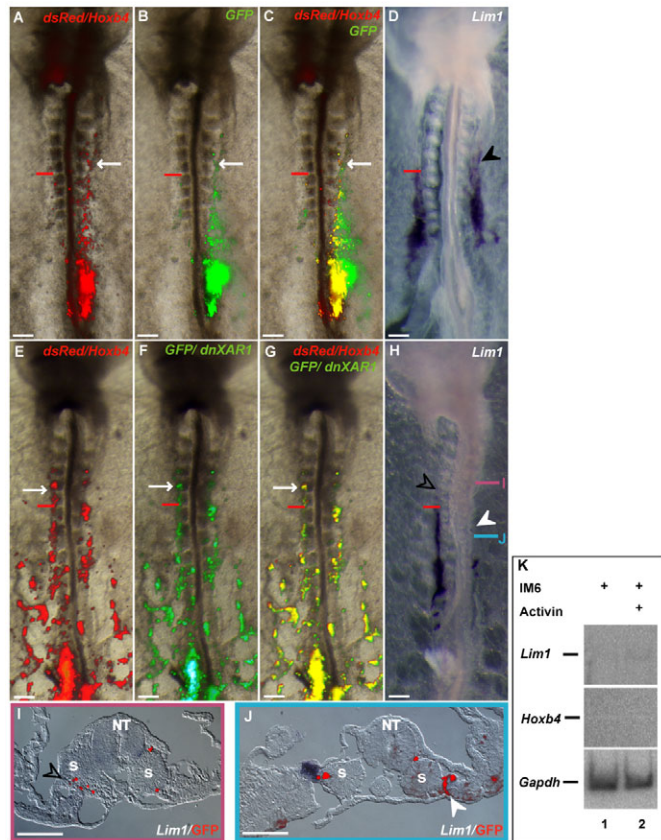


Fig. 6. Activin or activin-like signaling is necessary but insufficient for *Lim1* induction in IM cells. (A-H) *Hoxb4* expression vector driving IRES2-dsRed expression was co-electroporated with pCIG control vector (A-D) or with dnXAR1 expression vector driving IRES2-GFP expression (E-H) into the prospective IM region of PS3. The red line marks the sixth somite border. (A,E) Eighteen hours after electroporation, expression of dsRed could be visualized in both anterior (arrows) and posterior IM. GFP expression marks the location of the control vector (B) or of dnXAR1 expression (F). (C,G) Merged images of *Hoxb4* expression together with the control (C) or dnXAR1 (G) vector. (D) ISH for *Lim1* reveals specific IM anterior expansion of expression (arrowhead) in cells that express *Hoxb4* together with the control vector. (H) No anterior expansion of *Lim1* was detected in the IM of embryos co-expressing *Hoxb4* and dnXAR1 (open arrowhead), and the endogenous expression of *Lim1* in the posterior IM was diminished (white arrowhead). (I,J) The embryo in H was cross-sectioned at the indicated levels and immunostained using anti-GFP antibodies. Merged fields are shown. (I) An anterior cross-section shows that IM cells expressing dnXAR1 (represented by GFP staining) do not express *Lim1* (open arrowhead). (J) A posterior cross-section shows that IM cells expressing dnXAR1 do not express endogenous *Lim1* (white arrowhead) as compared with IM cells on the contralateral side. (K) Two parallel IM6 regions were isolated and cultured for 24 hours with or without 10 ng/ml activin. Expression of *Lim1*, *Hoxb4* and *Gapdh* was analyzed by RT-PCR. NT, neural tube; s, somite. Scale bars: 100 μ m.

Potential role of Hox genes in determining the KMF anterior border

It is well known that Hox genes regulate the specification of regional identity along the embryonic A-P axis and therefore also the positioning of structures along this axis. Although embryonic kidneys are highly patterned along the A-P axis, only a few studies have investigated the role that Hox genes play during kidney development and all in relation to metanephros development (Mugford et al., 2008; Patterson and Potter, 2003; Wellik et al., 2002). In the current study, we have shown that Hox genes belonging to paralogous group four, including *Hoxb4*, *Hoxc4* and *Hoxd4*, as well as *Hoxb5*, share the same anterior border at the sixth somite level with early kidney genes, suggesting a role in kidney gene regulation. Indeed, ectopic expression of *Hoxb4* in anterior IM domains results in anterior expansion of the kidney field, similar to results obtained using *Hoxd11*, which exerted metanephric fate in the mesonephros (Mugford et al., 2008). Our results raise an obvious question: is *Hoxb4* required for kidney gene expression? The answer would probably be no, as no kidney phenotypes were reported in *Hoxb4* mutant mice (Ramirez-Solis et al., 1993), nor in compound mutants for three members of paralogous group four (Horan et al., 1995b). These results are somewhat expected as considerable redundancy exists among Hox genes in general and paralogous group four in particular (Horan et al., 1995a; Horan et al., 1995b). It is plausible that in *Hoxb4* mutants, subtle changes in the pronephros position would be evident and that the anterior border of the kidney gene expression would be shifted moderately posteriorly. Analysis of early kidney gene expression in *Hoxb4* mutants or in compound mutants is required in order to verify this hypothesis.

Modes of gene regulation mediated by *Hoxb4*

The many roles of Hox genes during embryonic development and aspects of their structure and molecular function have been studied extensively. However, our knowledge of the downstream genes directly regulated by Hox transcription factors is largely incomplete (Pearson et al., 2005; Svingen and Tonissen, 2006). A major difficulty in predicting Hox target genes is that the Hox-response elements are rather elusive. Several direct target genes of *Hoxb4* have been identified in *Xenopus* and mouse embryos, including *Hoxb4* itself, *Hoxb3*, *Hoxb5*, *RAR- β* , *RAS-related protein-1*, *FLASH* and *Iroquois 5* (Gould et al., 1997; Morgan et al., 2004; Morsi El-Kadi et al., 2002; Serpente et al., 2005; Theokli et al., 2003). In the current study, we have shown that *Hoxb4* upregulates the expression of both *Lim1* and *Pax2*, although evidence for direct regulation was not provided.

Another perception emerging from our study is that *Hoxb4* is insufficient for the induction of *Lim1* expression. This idea is based on the result that the upregulation of *Lim1* mediated by *Hoxb4* is inhibited in IM cells that also express truncated activin receptor. These results might suggest cooperation between activin-like signaling and *Hoxb4* in *Lim1* activation, which is in agreement with accumulating evidence for cooperation between Smads and Hox proteins in target gene activation (Grieder et al., 1997; Grienerberger et al., 2003; Marty et al., 2001) and repression (Shi et al., 1999; Walsh and Carroll, 2007; Yang et al., 2000). Most of this evidence has come from studies in *D. melanogaster* that demonstrated that Hox binding sites are located in the vicinity of, or within, areas known as Dpp/TGF β -response elements (Grieder et al., 1997; Marty et al., 2001; Walsh and Carroll, 2007). Interestingly, Grieder et al. (Grieder et al., 1997) have shown that the activity of a Dpp/TGF β -responsive enhancer is stimulated by Dpp signaling only upon binding of the Hox protein Labial together with its co-factor

Extradenticle. These authors suggested that a tissue-specific response to Dpp could be generated through synergistic effects on an enhancer carrying both Dpp- and Hox-responsive sequences (Grieder et al., 1997). This statement is in complete agreement with our hypothesis that the induction of kidney genes within the IM in response to TGF β signaling requires the participation of downstream factors such as Hoxb4.

A molecular mechanism to explain the formation of the kidney morphogenetic field anterior border

In the current study, we have provided several lines of evidence that suggest a molecular mechanism to explain the cellular model of kidney field anterior border formation proposed by Barak et al. (Barak et al., 2005). We suggest that the kidney-inductive signals are members of the TGF β superfamily and that competence to respond to these signals is driven by RA and is mediated by Hoxb4 (Fig. 7). The model proposes that at least three different morphogen gradients participate in the establishment of the KMF. Along the mediolateral axis, a gradient of active BMP signaling is established from lateral to medial. Activin-like signals are secreted from the dorsal NT and establish a gradient in the opposite direction. These two mediolateral gradients are established along the entire A-P axis. The precise levels of BMP and activin-like signaling appropriate for kidney gene induction are obtained only in the IM domain. Along the A-P axis, a gradient of RA signaling is established from posterior to anterior, leading to the assembly of Hox gene expression domains. *Hoxb4* is expressed in both the paraxial mesoderm and the IM from the sixth somite level posteriorly. Only IM cells that express Hoxb4 and that meet the appropriate levels of BMP and activin-like signaling can execute the kidney program.

The expression patterns of *Hoxb4* during early gastrulation stages support the prediction that Hoxb4 confers IM cells with the competence to respond to kidney-inductive signals. In agreement with the ability of prospective IM cells of either PS4 or PS6 to respond to kidney-inductive signals, *Hoxb4* is expressed along the

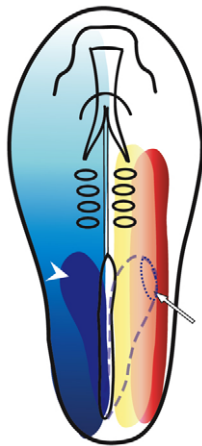


Fig. 7. Scheme illustrating the molecules that constitute the kidney morphogenetic field in a stage 8 chick embryo. The overlap between three different morphogen gradients determines the domain of kidney gene expression. Red illustrates the lateral-medial gradient of BMP signaling, yellow the mediolateral gradient of activin-like signaling, and blue the posterior-anterior gradient of RA that generates the expression domain of *Hoxb4* (dark-blue domain on the left side, arrowhead). The kidney morphogenetic field (dotted line, arrow) is established in the overlapping domain of BMP and activin-like signaling and *Hoxb4* expression (dashed line on the right).

entire length of the PS at these developmental stages. However, during prospective IM cell migration from PS4 to their final position in anterior IM6, they move from the *Hoxb4* expression domain to a *Hoxb4*-deprived domain, correlating with the loss of competence. Prospective IM cells of PS6 retain the expression of this gene during their migration to their final position in posterior IM. Therefore, the *Hoxb4* spatiotemporal expression profile is a key regulator of cell competence and hence of the formation of the distinct anterior border of the KMF. The molecular mechanisms regulating the loss of *Hoxb4* expression during early gastrulation remain to be determined.

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